PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction Act of 1998
Under the Paperwork Reduction Act of 1998
TR OF 1995 Stillute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known				
Application Number	10/786,679			
Filing Date	February 25, 2004			
First Named Inventor	Nicholas J. Bate			
Art Unit	1638			
Examiner Name	Not Known			
Attorney Docket Number	1587			

U.S. PATENT DOCUMENTS						
Examiner Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant		
	Number - Kind Code ² (if known)			Passages or Relevant Figures Appear		
CKW	A1	US-6,713,66 B2	03-30-2004	Pioneer Hi-Bred Int'l Inc.		
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-	· _ ·			
		US-				
	1	US-			,	

FOREIGN PATENT DOCUMENTS						
Initials* No.1	Cian	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines,	
	Publication Date MM-DD-YYYY	Applicant of Cited, Document	Where Relevant Passages or Relevant Figures Appear	۳٥		
CKW	A2	WO 01/25439 A1	04-12-2001	Bio-Gemma		
CKW	A3	WO 02/36788 A2	05-10-2002	INRA		
	·					
		<u> </u>				
						

Examiner	/Cathy Worley/	Date Considered	09/07/2006
Signature	-	Considered	00,00,000

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of Information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it contains a valid OMB control number

Substitute for form 1449B/PTO		Complete If Known			
11156		DIC	01 00UDE	Application Number	10/786,679
			CLOSURE	Filing Date	February 25, 2004
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Nicholas J. Bate
				Art Unit	1638
(Use as many sheets as necessary)		Examiner Name	Not Known		
Sheet	2	of	2	Attomey Docket Number	1587

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials * Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of		T²			
CKW	А3	BONELLO, J-F, et al.; "Esr genes show different levels of expression in the same region of maize endosperm"; Gene 246 (2000) 219-227			
CKW	A4	OPSAHL-FERSTAD, H-G, et al.; "ZmEsr, a novel endosperm-specific gene expressed in a restricted region around the maize embryo"; The Plant Journal (1997) 12(1) 235-246			
CKW	A5	BATE, N., et al.; "An Invertase Inhibitor from Maize Localizes to Embryo Surrounding Region during Early Kernel Development"; Plant Physiology, January 2004, Vol. 134, pp. 246-254			
CKW	A6	SHARMA, V.K., et al.; The Arabidopsis CLV3-like (CLE) genes are expressed in diverse tissues and encode secreated proteins*; Plant Molecular Biology 51:415-425, 2003			
		·			

Examiner Signature	/Cathy Worley/	Date Considered	09/07/2006

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of Information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents P.O. Box 1450. Alexandria VA 22313-FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.